

## **CONNECTICUT FORM U-1 SUPPLEMENT FOR EDGAR FILERS**

The EDGAR Filer described below elects to use EDGAR to register the offering of securities by coordination described in the accompanying Form U-1 under Sections 36b-17 and 36b-19 of the Connecticut Uniform Securities Act.

Name of EDGAR Filer (company or issuer name):

\_\_\_\_\_

Contact Person:

\_\_\_\_\_

Contact Person Telephone: \_\_\_\_\_

Date of this Filing: \_\_\_\_\_

The following documents and information have been, or, in the case of post-effective filings, will be, filed via EDGAR and are or will be available for on-line viewing:

- ☐ Registration Statement
- ☐ Maximum and minimum proposed offering prices and the maximum underwriting discounts and commissions
- ☐ Latest form of prospectus as filed under the Securities Act of 1933
- ☐ (Post-Effective) Price amendment
- ☐ (Post-Effective) Amendment containing the information in the price amendment, if any
- ☐ Prospectus amendments, other than amendments that merely delay the effective date of the registration. **Note:** Complying with Rule 310 under SEC Regulation S-T (governing the marking of changed materials electronically) will satisfy the requirement in Section 36b-31-19a(b) of the Regulations that prospectus amendments be clearly marked to indicate specific amendments.